



Santa Clara County Office of Education

Charles Weis, Ph.D.
County Superintendent of Schools

Informational Bulletin

District Business & Advisory Services

Nimrat Johal: Director- DBAS: 408-453-6599

Cathy McKim, Manager-DBAS: 408-453-6588

Bulletin: 12-002

Date: July 6, 2011

To: District Fiscal Directors

From: Cathy McKim

Re: 2011-12 PENSEC for New and Significantly Expanding Charter Schools-
Due to CDE July 29th Deadline

This bulletin is to inform you that the e-mail notification below has been sent to all charters in our County-District-School (CDS) code system that are Active, or that are Pending and due to begin school this year. Please note that the CDE has expanded the PENSEC e-mail to better explain the time frames and that the data is used for both state and federal purposes. The PENSEC data and signed certifications are due to the CDE by July 29th for state apportionment purposes. Please impress upon your new charter schools that submissions received after this date may not be included in the initial charter school state special advance apportionment. PENSEC data may also be submitted in August and September but will only be used for federal categorical purposes.

Please share this information as deemed appropriate.



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From: Joanna La Guardia

Sent: Tuesday, July 05, 2011 10:06 AM

Subject: 2011-12 PENSEC for New and Significantly Expanding Charter Schools

This e-mail is being sent to direct and locally funded charter schools and other interested parties. Questions may be directed to the Office of Charter Apportionments and District Reorganization at 916-324-4541, or to the e-mail contacts below.

PENSEC

The 2011-12 report of Pupil Estimates for New or Significantly Expanding Charters (PENSEC), managed by the California Department of Education's (CDE's) School Fiscal Services Division, is now available on the Web at <http://www.cde.ca.gov/fg/aa/pa/pensec11.asp>. Charter schools that are new or significantly expanding in 2011-12 and that file a PENSEC report may receive a special advance on their funding for state principal apportionment (e.g., charter general purpose block grant, charter categorical block grant) and/or allocations of federal categorical programs (e.g., Title I, Title II, Title III).

WHO SHOULD SUBMIT

Charter schools eligible to submit the 2011-12 PENSEC report include:

- New charter schools in their first year of operation that will commence instruction by September 30th, 2011, and charter schools considered new for funding purposes in fiscal year (FY) 2011-12. The PENSEC report enables the new schools to receive advance funding on state principal apportionments and to receive certain 2011-12 federal categorical allocations (e.g., Title I, Title II, Title III).
- Charter schools that are significantly expanding in 2011-12, which includes schools that are adding one or more grade levels, and schools that are increasing enrollment by at least 25 percent due to the addition of an educational program in a major curriculum area or another event deemed significantly by the CDE. The PENSEC report enables the significantly expanding schools to receive advance funding on the growth portion of their state principal apportionments and to receive certain federal 2011-12 categorical allocations (e.g., Title I, Title II, Title III).



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CAUTION

For some federal categorical programs (e.g., Title I), funding is based on prior year data. Because a new or significantly expanding charter school will have nonexistent or understated prior year data, the law allows these schools to be funded based on current year estimates. To take advantage of this provision a new or significantly expanding direct funded charter school must submit the PENSEC report. In addition, to receive federal funds the direct funded school must also meet federal program eligibility requirements and elect to participate in such federal programs by submitting the separate [Consolidated Application](#).

FILING DEADLINES

The filing deadlines for submitting FY 2011-12 PENSEC data to the CDE is July 29, 2011, for state principal apportionment purposes, and September 30, 2011, for federal categorical purposes. In addition to submitting the data online to the CDE, reports that include average daily attendance data will also require a hard copy signed certification be mailed to the CDE. Data or certifications received after these dates may cause the charter school to wait until a later apportionment to receive state funding, or to be not eligible for some federal funding in the current year.

PIN

A personal identification number (PIN) will be required to logon to the PENSEC. Use the same PIN as was provided your charter school for the 2011-12 Charter Schools Annual Information Survey. Questions about PINs should be addressed to Melissa Collier at mcollier@cde.ca.gov or Dee Salerno at dsalerno@cde.ca.gov.

For further information on the PENSEC, please see the 2011-12 PENSEC instructions available at <http://www.cde.ca.gov/fg/aa/pa/pensecistr11.asp>.

California Department of Education
School Fiscal Services Division
916-324-4541